

SCHOOLS FUNDING FORUM AGENDA

8.30 - 11.00 am	18 May 2017	CEME,
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Members: 26 Quorum: 11

MEMBERSHIP:

Representative Groups

Head Teachers (12):	Emma Allen, Special Margy Bushell, Primary Kirsten Cooper, Primary David Denchfield, Primary Malcolm Drakes, Primary Bill Edgar, Secondary Nigel Emes, Primary (Chair) Chris Hobson, Primary Simon London, Academy Gary Pocock, Academy Keith Williams, Academy
Governors (7):	Sheila Clarke, Primary Bernard Gilley, Primary Eileen Hinds, AP Academies John McKernan, Academy
Non-School Representatives (4):	Maria Thompson, Post 16 Joanna Wilkinson, Early Years/PVI Sector
Trade Unions (3):	John Giles, UNISON Keith Passingham, NASUWT Ray Waxler, NUT

For information about the meeting please contact:
David Allen david.allen@havering.gov.uk 01708 433851

If you are unable to attend please contact your named substitute or ask David Allen to do so on your behalf.

AGENDA ITEMS

1. **APOLOGIES FOR ABSENCE AND ANNOUNCEMENT OF SUBSTITUTE MEMBERS OR OBSERVERS**

2. **TO AGREE THE NOTES OF THE MEETING HELD ON 2ND MARCH 2017** (Pages 1 - 6)

The notes are attached at Appendix A.

3. **MATTERS ARISING**

4. **SCHOOLS FORUM REPRESENTATION** (Pages 7 - 11)

5. **Dedicated Schools Grant – Year End Balance 2016-17** (Pages 12 - 15)

6. **Section 251 Budget Statement 2017-18** (Pages 16 - 24)

7. **Pupil Growth Fund – proposed amendment to criteria**

Report to follow.

8. **Trade Union Facility Time**

Report to follow.

9. **Strategic Review and Planning of Special Education Provision** (Pages 25 - 28)

10. **Academy conversions and sponsors**

To note that Marshalls Park School became an academy on 1st April 2017 as part of the South West Essex Community Education Trust:

11. **NEXT MEETINGS**

Future dates to be decided.

12. **ANY OTHER BUSINESS**

13. EXCLUSION OF THE PUBLIC

To consider whether the public should now be excluded from the remainder of the meeting on the grounds that it is likely that, in view of the nature of the business to be transacted or the nature of the proceedings, if members of the public were present during those items there would be disclosure to them of exempt information within the meaning of paragraph 1 of Schedule 12A to the Local Government Act 1972; and, if it is decided to exclude the public on those grounds, the Committee to resolve accordingly on the motion of the Chairman.

14. EXEMPT MINUTES OF THE MEETING HELD ON 2ND MARCH 2017 (Page 29)

To agree the exempt minutes of the meeting held on 2nd March 2017.

15. MATTERS ARISING

16. REPORT ON THE USE OF THE SCHOOLS PARTNERSHIP/SCHOOLS CAUSING CONCERN FUND IN 2016-17. (Pages 30 - 37)

17. SCHOOL BALANCES 2016-17

Report to follow.

18. SCHOOLS IN FINANCIAL DIFFICULTY

Report to follow.

19. ANY OTHER BUSINESS

**MINUTES OF A MEETING OF THE
SCHOOLS FUNDING FORUM
CEME
2 March 2017 (8.30 - 9.58 am)**

Present:

Representative Groups

Head Teachers: Margy Bushell, Primary
Kirsten Cooper, Primary
Malcolm Drakes, Primary
Bill Edgar, Secondary
Chris Hobson, Primary
Ian Hogg, Special School Academy
Sara Sankey, Primary
Keith Williams, Academy (in the Chair)

Governors: Sheila Clarke, Primary
Bernard Gilley, Primary

**Non-School
Representatives:**

Trade Unions: John Giles, UNISON
Ray Waxler, NUT

43 APOLOGIES FOR ABSENCE AND ANNOUNCEMENT OF SUBSTITUTE MEMBERS OR OBSERVERS

Apologies were received from Nigel Emes (Sara Sankey substituting) John McKernan, Simon London, Emma Allen and Gary Pocock (Ian Hogg substituting).

Eileen Hinds was welcomed to the meeting as the new representative for AP Academies.

The meeting was chaired by Keith Williams in the absence of Nigel Emes.

44 MEMBERSHIP

Noted that Derek Smith had resigned from the Forum. It was agreed that the Chair would write to Mr Smith thanking him for work during his time on the Forum.

Noted that Mr Smith may not be replaced on the Forum as there were now fewer Local Authority-controlled secondary schools. A report on the balance of the Forum membership would be brought to the next meeting.

45 TO AGREE THE MINUTES OF THE MEETING HELD ON 18TH JANUARY 2017

The minutes of the meeting held on 18 January 2017 were agreed as a correct record and signed by the Chairman.

46 MATTERS ARISING

Minute 38 – Schools and High Needs National Funding Formula – DfE 2nd Stage Consultation – It was confirmed that a presentation to head teachers on the implications of the DfE consultation had taken place on 30 January and a similar presentation to Chairs and Vice-Chairs of Governors had taken place on 31 January.

47 SCHOOL BUDGETS 2017-18

Final school budgets had been sent out on 28 February and the only changes had been an increase of £5 per pupil for all AWPUs and a reduction of £340 for IDACI band C. The gains cap had increased to 1.25% per pupil. Under the revised formula, 56 schools had received funding increases and 23 schools had funding decreases. Of the 56 schools with increases, 21 (all primary) had the gains cap applied of 1.25%. Of the 23 schools with decreases, 16 were less than -1.5%. Of the 7 which had reductions protected at -1.5% per pupil, 6 were the schools that had previous year grants embedded in their per pupil funding. Maintaining reductions at -1.5% was at a cost to the DSG of £1.15m.

59% of primary and 83% of secondary schools were funded between the gains cap of 1.25% and the Minimum Funding Guarantee of -1.5% which showed that the formula was working for more schools.

There were no changes to the top up element for high needs. The top up for the Pupil Referral Service had increased to £9,000 as agreed at the previous meeting of the Forum.

More schools were likely to be in deficit this year than ever before, primarily due to increases in salary costs. The Council was working with these schools on recovery plans. Budgets were due to be submitted by 2 May and it would be known at that point how many schools were budgeting for a deficit.

The Lead Member was aware of the situation and would discuss with the Leader of the Council if a draft letter from schools to Ministers on these issues could be supported. It was noted that Champion School had already written to local MPs and one Havering secondary school had asked parents

for a voluntary contribution which had been brought to the attention of local Councillors.

The Forum **NOTED** that schools had received their funding allocation for financial year 2017-18 and academies had received their funding statements from the EFA for their financial year commencing September 2017 based on the LA's formula.

48 **TRADE UNION FACILITY TIME**

Havering schools had been asked to contribute £3.50 per pupil for Trade Union facility time which was higher than the London average. Members of the Forum felt that it was not transparent how this money was spent and the Forum was asked to decide whether to maintain or reduce this funding.

Union representatives explained that facility time had a legal basis whereby the employer was compensated for time taken off by staff for union work. The £3.50 per pupil charge ensured that schools met their legal obligation.

Members of the Forum felt it was essential that the use of these public funds was made more accountable and transparent. KW advised that the NASUWT had indicated that it would be completing, on a trial basis, a record of how the facility time was utilised in support of schools and staff over some broad headings. The NUT considered that to formally log hours as a data collection exercise was onerous and was not willing to record activities. This was challenged by members of the Forum who felt this was required.

Officers would bring to a subsequent meeting of the Forum all information available regarding Trade Union facility time charges. The NUT representative offered to share with the Forum the annual report he produced for his members.

The Chair was keen to maintain a good working relationship with the Trade Unions and asked officers to investigate the costs of reducing the level of payment schools made for facility time. It was also **AGREED** that the officers would write to those schools that had not paid into the fund.

It was **AGREED** that the Trade Union Facility Time Working Party would look at what evidence was available for how the funding for Trade Union facility time was being spent. The reporting proposals from the NASUWT would also be considered by the working party.

49 **SCHOOL NATIONAL FUNDING FORMULA - RESPONSE TO THE DFE 2ND STAGE CONSULTATION.**

It was noted that the Lead Member for Children and Learning was in agreement with the proposed response to the consultation and officers felt

that the new national funding formula was unfair as it resulted in reduced funding for 72% of Havering secondary schools and 22% of Havering primary schools. The proposed change to the primary to secondary funding ratio to 1:1.29 was also not supported as this would also result in reduced funding for Havering secondary schools.

The proposed funding through additional needs factors was supported but officers had concerns that this would lead to a reduction in funding through AWPUs and lump sums. The proposed weighting for these factors was also likely to reduce funding for Havering secondary schools due to the good KS2 scores in Havering. The lump sum proposed would be a reduction for all Havering schools and it was also felt that there should be a widening of the proposed sparsity funding criteria to protect small schools.

A further concern was that, with significant school expansions planned in Havering, the lagged pupil growth data proposals would mean that funding for expansions would not be received until the following year.

The principle of a funding floor was supported but there was concern that the proposed floor of -3% in addition to an 8% real terms reduction would cause significant problems for Havering schools. It was felt that the funding floor should continue to not be applied for new and growing schools.

Officers agreed that the Minimum Funding Guarantee should continue and that other cost pressures as a result of Government policy should also be taken into account such as changes to National Insurance and pension contributions. It was also suggested that the funding floor for the central school services block be set at -1.5% in line with the Minimum Funding Guarantee. Officers added that the funding formula itself was set at a national level.

The proposed response to the consultation was **AGREED** by the Forum.

50 **HIGH NEEDS NATIONAL FUNDING FORMULA - RESPONSE TO DFE 2ND STAGE CONSULTATION.**

With a 0% cap on losses, no Local Authorities would lose out under the proposed formula. Havering would in fact gain by 8.2% but this would be capped at 3%. Officers felt that the high needs funding was not keeping pace with the pressures of a rising population.

It was felt that the basic entitlement of £4,000 per pupil was reasonable although it was not possible to comment on the weightings proposals as no alternatives had been given. Officers felt there should be more flexibility in the proposals to allow Schools Funding Forums to decide on the balance between school and high needs blocks. There was also pressure on schools due to their need to fund the first £6,000 of high needs costs.

It was noted that the future of Schools Funding Forums nationally would be reviewed during the current financial year.

The proposed response to the consultation was **AGREED** by the Forum.

51 **SCHOOLS IN DEFICIT CONVERTING TO ACADEMIES**

It was noted that a converter academy was one that had developed via an application from the school's governing body whereas a sponsored academy had been placed with a sponsor by the Secretary of State. For a converter academy, the Local Authority was required to determine the level of surplus within 4 months of conversion and then pay this to the Academy Trust within a further month. A capital surplus could not be offset by the Local Authority against a revenue deficit. If a converter had a deficit balance, this would have to be carried forward.

In the case of a sponsored academy with a surplus, this would depend on the nature of the intervention but any surplus was usually passed on to the academy. If a sponsored academy had a deficit, this usually remained with the Local Authority. Work would be undertaken with the school to manage the risks of its budget deficit increasing.

For federated schools, it would be necessary to agree how much of the federated budget relating to each school would go into the Academy Trust. It would be necessary to resolve who funded any deficit on the conversion of a sponsored academy and it was suggested that the Forum could agree a contingency to pay these deficits if additional funding became available. Current schools with deficits were mainly due to having lower pupil numbers.

The Forum **AGREED** by 9 votes to 0 (with 6 abstentions) to support in principle a centrally retained fund to allocate to schools in deficit but with specific principles and guidelines to be agreed by the Forum.

52 **ACADEMY CONVERSIONS AND SPONSORS**

The Forum noted that Royal Liberty School had, with effect from 1 February 2017, joined Redden Court as part of the Success For All Educational Trust.

53 **NEXT MEETINGS**

Dates for future meetings of the Forum would be circulated by officers.

54 **ANY OTHER BUSINESS**

The Chairman asked for thanks to be recorded to the Strategic Finance Manager and his team for their work in the calculation and issuing school budgets for 2017-18 and for supporting the Forum.

Schools Funding Forum 18th May 2017**ITEM 4****Subject Heading:****Representation on the Schools
Funding Forum****Report Author:****David Allen – Strategic Finance
Manager****Eligibility to vote:****All members****SUMMARY**

This report updates members on the current membership of the Schools Funding Forum and proposes a revision based on the most up to date pupil numbers.

RECOMMENDATIONS

That the Schools Funding Forum agrees Option C from the proposals set out in section 3 below.

REPORT DETAIL**1. Introduction**

DFE guidance on schools forums advises as follows:

There is no maximum or minimum size of a schools forum. Authorities may take various issues into account in deciding the actual size, including the need to have full representation for various types of school, and the local authority's policy on representation of non-schools members. However, care should be taken to keep the schools forum to a reasonable size to ensure that it does not become too unwieldy.

Types of member: schools forums must have 'schools members', 'academies members and 'non-schools members'. Schools and academies members together must number at least two-thirds of the total membership of the schools forum and the balance between maintained primary, maintained secondary and academies members must be broadly proportionate to the pupil numbers in each category, so the structure of Forum should be regularly reviewed.

Academies members must represent mainstream academies and, if there are any in the LA area, special academies and alternative provision academies. There is no requirement for academies members to represent specific primary and secondary phases, but it is encouraged that representation remains broadly proportionate to pupil numbers. Academy members must be separately elected and designated from maintained school representatives.

The DFE operational guidance states that Schools Forum members will need the skills and competencies to manage Forum business and to take a strategic view across the whole education estate whilst acting as representative of the group that has elected them. Furthermore, they should be easily contactable and pro-active in raising the profile of issues and communicate decisions, and the reasons behind them, effectively.

2. The need for review

Since the current constitution was agreed there has been an increase in the number of maintained schools that have become academies and it is likely that more will convert during the academic year 2017/18. The introduction of a national funding formula will lead to a DFE review of the future of Schools Forums but in the meantime it continues to be statutory requirement for a Schools Forum in each local authority.

3. Proposals

The current membership and proposals (as highlighted) are as follows:

School members

	Current	Option A	Option B	Option C
Primary - Maintained				
Head Teachers	6	5	5	5
Governors	3	3	3	2
Primary - Academies				
Head Teachers	1	1	1	1
Governors	0	0	1	1
Secondary - Maintained				
Head Teachers	1	1	1	1
Governors	0	0	0	0
Secondary - Academies				
Head Teachers	3	3	3	3
Governors	2	2	2	2
Special - Maintained				
Head Teachers	1	1	1	1
Governors	0	0	0	0
Special - Academies				
Head Teachers	1	1	1	1
Governors	0	0	0	0
AP - Academy				
Head Teachers	1	1	1	1
Governors	0	0	0	0
Total School Members	19	18	19	18

Non School members

Post 16	1	1	1	1
Early Years – PVI	1	1	1	1
Diocese	1	1	1	1
Trade Unions				
Teaching Staff	2	1	1	1
Support Staff	1	1	1	1
Total Non School Members	6	5	5	5
Total	25	23	24	23

Appendix A details the current balance of school member representation and the number of pupils in each sector.

It is further recommended that trade union representation is rationalised at one each for teaching and support staff.

School and Academy Representation on the Schools Funding Forum

School representatives as at May 2017			
	Head Teachers	Governors	Total
Primary Maintained	6	3	9
Primary Academies	1	0	1
Secondary Maintained	1	0	1
Secondary Academies	3	2	5
Total	11	5	16

January 17 census with assumed primary conversions in Sept 17	
Primary Maintained	17,974
Primary Academies	4,122
Secondary Maintained	1,486
Secondary Academies	14,500
Total	38,081

Current Apportionment of pupils per representative				Ratio
Primary Maintained	17,974	9	1,997	2.06
Primary Academies	4,122	1	4,122	
Secondary Maintained	1,486	1	1,486	2.0
Secondary Academies	14,500	5	2,900	
Total	38,081	16		

Current apportionment between primary and secondary				Ratio
Primary	22,095	10	2,210	1.21
Secondary	15,986	6	2,664	
Total	38,081	16		

Current apportionment between maintained and academy				Ratio
Maintained	19,460	10	1,946	1.59
Academy	18,622	6	3,104	
Total	38,081	16		

OPTION A

Decrease of 1 primary maintained rep				Ratio
Primary Maintained	17,974	8	2,247	1.8
Primary Academies	4,122	1	4,122	
Secondary Maintained	1,486	1	1,486	2.0
Secondary Academies	14,500	5	2,900	
Total	38,081	15		

Apportionment between primary and secondary				Ratio
Primary	22,095	9	2,455	1.09
Secondary	15,986	6	2,664	
Total	38,081	15		

Apportionment between maintained and academy				Ratio
Maintained	19,460	9	2,162	1.44
Academy	18,622	6	3,104	
Total	38,081	15		

OPTION B

Decrease of 1 primary maintained rep & Increase of 1 primary academy rep				Ratio
Primary Maintained	17,974	8	2,247	0.9
Primary Academies	4,122	2	2,061	
Secondary Maintained	1,486	1	1,486	2.0
Secondary Academies	14,500	5	2,900	
Total	38,081	16		

Apportionment between primary and secondary				Ratio
Primary	22,095	10	2,210	1.21
Secondary	15,986	6	2,664	
Total	38,081	16		

Apportionment between maintained and academy				Ratio
Maintained	19,460	9	2,162	1.23
Academy	18,622	7	2,660	
Total	38,081	16		

OPTION C

Decrease of 2 primary maintained rep & Increase of 1 primary academy rep				Ratio
Primary Maintained	17,974	7	2,568	0.8
Primary Academies	4,122	2	2,061	
Secondary Maintained	1,486	1	1,486	2.0
Secondary Academies	14,500	5	2,900	
Total	38,081	15		

Apportionment between primary and secondary				Ratio
Primary	22,095	9	2,455	1.09
Secondary	15,986	6	2,664	
Total	38,081	15		

Apportionment between maintained and academy				Ratio
Maintained	19,460	8	2,432	1.09
Academy	18,622	7	2,660	
Total	38,081	15		

Agenda Item 5



Havering
LONDON BOROUGH

Schools Funding Forum 18th May 2017

ITEM 5

Subject Heading:

**Dedicated Schools Grant – Year End
Balance 2016-17**

Report Author:

**David Allen – Strategic Finance
Manager**

Eligibility to vote:

All members

SUMMARY

This report sets out the year end position on expenditure from the Dedicated Schools Grant in 2016-17 and to agree the use of the balance in 2017-18.

RECOMMENDATIONS

That the Schools Funding Forum:

- (i) notes the areas of under or overspend from the 2016-17 Dedicated Schools Grant
- (ii) agrees the allocation of the balance in financial year 2017-18 as set out in the proposals below

REPORT DETAIL

1. Balance carried forward from 2016-17

The carry forward balance from centrally retained DSG from 2015-16 into 2016-17 is £1.616m.

This is broken down as follows:

	£000
Contingency	541
Reserve for Additional Resource Provision	300
Early Years Block	51
High Needs Block	79
Schools Block	706
De-delegated	-61
Total	£1,616

2. Further explanation and proposals for allocation in 2017-18

2.1 Contingency

At the meeting held on 28th April 2018 it was agreed to hold this sum in a contingency to support the transition to the national funding formula. At the time it was the Government's intention to implement the NFF from 2017-18 but this has been delayed until 2018-19.

Proposal

It is proposed that given the delay in the implementation of the NFF, £541k is retained as a contingency to support the transition into the new formula model.

2.2 Reserve for Additional Resourced Provision

This funding was agreed by the Schools Funding Forum in support of the LA strategy to develop Additional Resourced Provision in mainstream settings to meet increasing demand for pupils with ASD and with emotional and behavioural difficulties.

Some costs have been met in support of the set-up of ARPs but charged elsewhere

Proposal

It is proposed to continue to retain the £300k centrally to support the set up costs of schools opening Additional Resourced Provisions.

2.3 Early Years

This underspend has arisen from a contingency held to support in year participation and the pilot scheme for supporting vulnerable two year olds.

Proposal

It is proposed to allocate the balance of £51k to the early years funding block to support costs in 2017-18.

2.4 High Needs

There is considerable volatility in the costs of special education provision and this underspend is the net balance across a range of placements in schools and the independent sector.

Proposal

It is proposed to allocate the balance of £79k to the high needs funding block to support costs in 2017-18.

2.5 Schools Block

There is a limited range of central activities for which funding may be retained centrally. The funding for these areas was agreed by the Schools Funding Forum at the meeting held on 24th September 2015.

2.5.1 School Admissions

Budget	£499,734
Expenditure	£491,280
Variance	£8,454

2.5.2 Servicing of Schools Forum

Budget	£43,250
Expenditure	£43,307
Variance	- £57

2.5.3 Capital Expenditure from Revenue

Budget	£87,490
Plus	£24,000 b/fwd DSG for missed payment in 2015-16
Expenditure	£98,419
Variance	£13,071

2.5.4 Contribution to Combined Budgets (School Partnership Fund)

Budget	£200,000
Expenditure	£178,984
Variance	£21,016

Some actions fall into 2017-18 for current partnerships

Details of expenditure relating to schools are exempt from publication.

2.5.5 Pupil growth Fund

Budget	£2,700,000
Expenditure	£2,230,086
Variance	£469,914

	£
4 new permanent expansions in Reception and 4x 0.5 f.e. expansions in other year groups	242,108
Cohorts moving through from previous year permanent expansions - 16 schools Funding of 390 additional places (13 forms of entry)	632,709
9 new bulge classes in primary schools	406,742
Commitment to schools for unfilled bulge classes from previous year	811,782
Allocations to meet infant class size regulations	39,128
Previous year growth in secondary schools and academies (25 places)	40,302
Deprivation supplement	57,315
	<u>2,230,086</u>
Budget	2,700,000
Underspend	469,914

It is recommended that this underspend is carried forward to increase the budget available for pupil growth in financial year 2017-18 given the planned growth in the secondary sector commencing in September 2017.

2.5.6 Schools with falling rolls

Budget	£500,000
Expenditure	£289,538
Variance	£210,462

2.6 De-delegated budgets

The budgets that were delegated from maintained primary and secondary schools overspent by £61,000. This was in relation to a very high number of teachers whose salary cost to the schools have been met by the de-delegated budget for maternity insurance. Consideration will need to be given to the future costs of this scheme.

Agenda Item 6



Havering
LONDON BOROUGH

Schools Funding Forum 18th May 2017

ITEM 6

Subject Heading:

Section 251 Budget Statement 2017-18

Report Author:

**David Allen – Strategic Finance
Manager**

Eligibility to vote:

All members

SUMMARY

This report is to present the Section 251 budget statement for financial year 2017-18.

RECOMMENDATIONS

To receive the Section 251 budget statements.

REPORT DETAIL

Local authorities are required under Section 251 of the Apprenticeships, Skills, Children and Learning Act 2009 to prepare and submit an education and children and young people's services budget statement not later than 31st March 2017 for the prescribed period to the Secretary of State for Education. The prescribed period for this budget statement covers 1st April 2017 – 31st March 2018.

The statement is intended to provide a clear picture of the LA's planned spending on its schools budget, de-delegated items, high needs budget, early years' budget, central provision within the schools budget and children and young people's services.

It is important that schools forums and others can compare funding between different LAs, so as to have an informed debate about budget levels and use of funds. It is therefore essential that all statements are prepared to a common format and are accurate.

The statements are used by the Department for Education for the publication of statistics, for constructing benchmarking tables for local authorities, and for answering Parliamentary Questions and other requests for data.

LAs must submit their budget statement for the prescribed period to the Secretary of State for Education by 31st March 2017. Havering's submission met that deadline.

A copy of the budget statement must be made available:

- to every school maintained by LAs: In the unlikely event that a school does not have access to the internet, the LA must provide a hard copy of each budget statement including: Table 1- LA information covering de-delegated items, high needs budget, early years budget and children's social care; Table 2- School table high needs and AP settings; and the Early Years Pro forma, to the governing body and head teacher.
- to those private, voluntary and independent providers (PVI Providers) who are funded to provide free early provision to 2, 3 and 4 year olds: The LA must show how they can access a copy of the budget statement;
- to the general public: The LA must make a copy of the whole statement available at their education offices (where a copy must be available for inspection by parents and others in the community at all reasonable times and free of charge);
- on a website: The LA must make a copy of the whole statement available on a website which is maintained by the LA and accessible by the public.

The section 251 budgets statements are attached as appendices:

Appendix A – LA Table
Appendix B – Schools Table
Appendix C – Early Years table

LA Table: Local Authority Information

LA Name: London Borough of Havering						LA Number: 311			
Description	Early Years	Primary	Secondary	SEN/ Special schools	AP/ PRUs	Post school	Gross	Income	Net
1 SCHOOLS BUDGET									
1.0.1 Individual Schools Budget (before Academy recoupment)	11,717,000	87,739,579	79,460,281	3,169,167	820,000		182,906,027		182,906,027
DEDELEGATED ITEMS									
1.1.1 Contingencies		237,451	14,623				252,074	0	252,074
1.1.2 Behaviour support services		198,953	0				198,953	0	198,953
1.1.3 Support to UPEG and bilingual learners		230,126	0				230,126	0	230,126
1.1.4 Free school meals eligibility		17,842	1,061				18,903	0	18,903
1.1.5 Insurance		517,546	21,974				539,520	0	539,520
1.1.6 Museum and Library services		0	0				0	0	0
1.1.7 Licences/subscriptions		0	0				0	0	0
1.1.8 Staff costs – supply cover excluding cover for facility time		272,525	16,783				289,308	0	289,308
1.1.9 Staff costs – supply cover for facility time		61,380	3,780				65,160	0	65,160
1.1.10 School improvement		0	0				0	0	0
HIGH NEEDS BUDGET									
1.2.1 Top-up funding – maintained schools	0	2,510,791	270,663	1,835,428	0		4,616,882	0	4,616,882
1.2.2 Top-up funding – academies, free schools and colleges	0	395,346	1,338,406	2,608,870	522,417	1,000,000	5,865,039	0	5,865,039
1.2.3 Top-up and other funding – non-maintained and independent providers	0	0	0	2,150,280	0	500,000	2,650,280	0	2,650,280
1.2.4 Additional high needs targeted funding for mainstream schools and academies	0	94,936	81,209				176,145	0	176,145
1.2.5 SEN support services	560,400	561,685	378,806	7,318	0	0	1,508,209	0	1,508,209
1.2.6 Hospital education services					78,150		78,150	0	78,150
1.2.7 Other alternative provision services	0	312,699	784,275	1,646	172,790	0	1,271,410	0	1,271,410
1.2.8 Support for inclusion	0	0	163,460	0	0	0	163,460	0	163,460
1.2.9 Special schools and PRUs in financial difficulty				0	0		0	0	0
1.2.10 PFI/ BSF costs at special schools, AP/ PRUs and Post 16 institutions only				0	0	0	0	0	0
1.2.11 Direct payments (SEN and disability)	0	0	0	0	0	0	0	0	0
1.2.12 Carbon reduction commitment allowances (PRUs)	0	0	0	0	0	0	0	0	0
1.2.13 Therapies and other health related services	0	0	0	0	0	0	0	0	0
EARLY YEARS BUDGET									
1.3.1 Central expenditure on children under 5	730,000						730,000	0	730,000
CENTRAL PROVISION WITHIN SCHOOLS BUDGET									
1.4.1 Contribution to combined budgets	0	180,000	20,000	0	0		200,000	0	200,000
1.4.2 School admissions	0	296,147	199,724	3,859	0		499,730	0	499,730
1.4.3 Servicing of schools forums	951	25,052	16,896	326	24		43,249	0	43,249
1.4.4 Termination of employment costs	0	0	0	0	0		0	0	0
1.4.5 Falling Rolls Fund	0	250,000	250,000	0	0		500,000	0	500,000
1.4.6 Capital expenditure from revenue (CERA)	0	0	262,490	0	0		262,490	175,000	87,490
1.4.7 Prudential borrowing costs	0	0	0	0	0		0	0	0
1.4.8 Fees to independent schools without SEN	0	0	0	0	0		0	0	0
1.4.9 Equal pay - back pay	0	0	0	0	0		0	0	0
1.4.10 Pupil growth/ Infant class sizes	0	2,400,000	300,000	0	0		2,700,000	0	2,700,000
1.4.11 SEN transport	0	0	0	0	0	0	0	0	0
1.4.12 Exceptions agreed by Secretary of State	0	0	0	0	0	0	0	0	0
1.4.13 Other Items	2,101	92,529	62,402	1,206	90	6,461	164,789	0	164,789

CENTRAL PROVISION WITHIN SCHOOLS BUDGET (FORMER ESG RETAINED DUTIES)

1.5.1	Education welfare service						127,820	0	127,820
1.5.2	Asset management						44,517	0	44,517
1.5.3	Statutory/ Regulatory duties						416,663	0	416,663

CENTRAL PROVISION FUNDED THROUGH MAINTAINED SCHOOLS BUDGET

1.6.1	Central support services						0	0	0
1.6.2	Education welfare service						0	0	0
1.6.3	Asset management						0	0	0
1.6.4	Statutory/ Regulatory duties						0	0	0
1.6.5	Premature retirement cost/ Redundancy costs (new provisions)						0	0	0
1.6.6	Monitoring national curriculum assessment						0	0	0

1.7.1	Other Specific Grants	0	0	0	0	0	0	0	0
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1.8.1	TOTAL SCHOOLS BUDGET (before Academy recoupment)	13,010,452	96,394,587	83,646,833	9,778,100	1,593,471	1,506,461	206,518,904	175,000	206,343,904
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RECONCILIATION OF SCHOOLS BUDGET

1.9.1	Estimated Dedicated Schools Grant for 2017-18							206,345,906		
1.9.2	Dedicated Schools Grant brought forward from 2016-17							0		
1.9.3	Dedicated Schools Grant carry forward to 2018-19							0		
1.9.4	EFA funding							0		
1.9.5	Local Authority additional contribution							0		
1.9.6	Total funding supporting the Schools Budget (lines 1.9.1 to 1.9.5)							206,345,906		

1.10.1	Academy: recoupment from the Dedicated Schools Grant (please show any recoupment from the DSG as a negative in the cell)									-84,040,489
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2 OTHER EDUCATION AND COMMUNITY BUDGET

2.0.1	Central support services						90,960	0	90,960
2.0.2	Education welfare service						309,790	0	309,790
2.0.3	School improvement						679,194	109,650	569,544
2.0.4	Asset management - education						114,693	73,150	41,543
2.0.5	Statutory/ Regulatory duties - education						696,962	41,620	655,342
2.0.6	Premature retirement cost/ Redundancy costs (new provisions)						0	0	0
2.0.7	Monitoring national curriculum assessment						61,000	0	61,000

2.1.1	Educational psychology service						607,288	62,430	544,858
2.1.2	SEN administration, assessment and coordination and monitoring						833,798	0	833,798
2.1.3	Independent Advice and Support Services (Parent partnership), guidance and information						72,710	0	72,710
2.1.4	Home to school transport (pre 16): SEN transport expenditure	0	0	0	2,081,680	0	2,081,680	0	2,081,680
2.1.5	Home to school transport (pre 16): mainstream home to school transport expenditure:	0	141,420	0	0	0	141,420	0	141,420
2.1.6	Home to post-16 provision: SEN/ LLDD transport expenditure (aged 16-18)	0	0	0	126,470	0	126,470	0	126,470
2.1.7	Home to post-16 provision: SEN/ LLDD transport expenditure (aged 19-25)	0	0	0	33,520	0	33,520	0	33,520
2.1.8	Home to post-16 provision transport: mainstream home to post-16 transport expenditure.	0	0	0	0	0	0	0	0
2.1.9	Supply of school places						303,025	0	303,025

2.2.1	Other spend not funded from the Schools Budget						0	0	0
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2.3.1	Young people's learning and development				72,618	31,122	0	103,740	0	103,740
2.3.2	Adult and Community learning							1,845,670	1,669,120	176,550
2.3.3	Pension costs							538,098	0	538,098
2.3.4	Joint use arrangements							0	0	0
2.3.5	Insurance							0	0	0

2.4.1	Other Specific Grant						0	0	0
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2.5.1	Total Other education and community budget							8,640,018	1,955,970	6,684,048
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3 CHILDREN'S AND YOUNG PEOPLE'S SERVICES

SURE START CHILDREN'S CENTRES AND EARLY YEARS

- 3.0.1 Funding for individual Sure Start Children's Centres
- 3.0.2 Funding for local authority provided or commissioned area wide services delivered through Sure Start Children's Centres
- 3.0.3 Funding on local authority management costs relating to Sure Start Children's Centres
- 3.0.4 Other early years funding
- 3.0.5 Total Sure Start Children's Centres and Early Years Funding

717,759	0	717,759
0	0	0
75,671	0	75,671
283,530	0	283,530
1,076,960	0	1,076,960

CHILDREN LOOKED AFTER

- 3.1.1 Residential care
- 3.1.2 Fostering services
- 3.1.3 Adoption services
- 3.1.4 Special guardianship support
- 3.1.5 Other children looked after services
- 3.1.6 Short breaks (respite) for looked after disabled children
- 3.1.7 Children placed with family and friends
- 3.1.8 Education of looked after children
- 3.1.9 Leaving care support services
- 3.1.10 Asylum seeker services children
- 3.1.11 Total Children Looked After

0	20,445	13,788	266	0
0	20,445	13,788	266	0

3,921,814	0	3,921,814
6,109,012	95,280	6,013,732
471,906	0	471,906
1,325,080	0	1,325,080
1,198,937	269,960	928,977
0	0	0
352,267	0	352,267
34,499	0	34,499
1,361,696	0	1,361,696
515,590	0	515,590
15,290,801	365,240	14,925,561

OTHER CHILDREN AND FAMILY SERVICES

- 3.2.6 Other children and families services

593,480	0	593,480
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SAFEGUARDING CHILDREN AND YOUNG PEOPLE'S SERVICES

- 3.3.1 Social work (including LA functions in relation to child protection)
- 3.3.2 Commissioning and Children's Services Strategy
- 3.3.3 Local Safeguarding Children Board
- 3.3.4 Total Safeguarding Children and Young People's Services

9,988,385	0	9,988,385
2,600,673	0	2,600,673
299,540	64,360	235,180
12,888,598	64,360	12,824,238

FAMILY SUPPORT SERVICES

- 3.4.1 Direct payments
- 3.4.2 Short breaks (respite) for disabled children
- 3.4.3 Other support for disabled children
- 3.4.4 Targeted family support
- 3.4.5 Universal family support
- 3.4.6 Total Family Support Services

559,090	0	559,090
574,752	0	574,752
0	0	0
3,146,258	0	3,146,258
425,529	0	425,529
4,705,629	0	4,705,629

SERVICES FOR YOUNG PEOPLE

- 3.5.1 Universal services for young people
- 3.5.2 Targeted services for young people
- 3.5.3 Total Services for young people

945,340	267,280	678,060
1,171,859	0	1,171,859
2,117,199	267,280	1,849,919

YOUTH JUSTICE

- 3.6.1 Youth justice

1,246,673	276,790	969,883
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EYSFF (3 & 4 year olds): Base rate	Description	Unit Value (£)			Unit Applied	Number of Units			Number of Units			Anticipated Budget (£)			
		PVI	Nursery School	Primary Nursery Class		PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL			
1. Base Rate(s) per hour, per provider type	1	£4.39		£4.39	per hour	1,635,349		513,722	494,167		135,211	£8,249,271		£2,853,206	£11,102,477

EYSFF (3 & 4 year olds): Other formula factors	Description	Unit Value (£)			Unit Applied	Number of Units (core & additional 15 hours)			Anticipated Budget (£)								
		PVI	Nursery School	Primary Nursery Class		PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL					
2. Supplements (please provide in written format a short explanation of your supplement payments)	Deprivation (Mandatory)	2a	IDACI Band E	£0.22		£0.22	per hour	159,600		63,840			£35,112		£14,045	£49,157	
		2a	IDACI Band D	£0.48		£0.48	per hour	128,250		61,560			£61,560		£29,549	£91,109	
		2a	IDACI Band C	£0.48		£0.48	per hour	214,217		50,160			£102,824		£24,077	£126,901	
		2a	IDACI Band B	£1.11		£1.11	per hour	65,571		63,270			£72,784		£70,229	£143,013	
		2a	IDACI Band A	£1.13		£1.13	per hour	570		0			£644		£0	£644	
		2a	IDACI Band A	£1.13		£1.13	per hour	570		0			£644		£0	£644	
	Quality (if applicable)	2b															
		2b															
	Flexibility (if applicable)	2c															
		2c															
	Rurality/ Sparsity (if applicable)	2d															
		2d															
	EAL (if applicable)	2e															
		2e															
												Funding provided through supplements:		£410,824			
3. Maintained nursery school (MNS) lump sums (if applicable)	3																
	3																
4. Funded hours above statutory core hours (if applicable)	4																
	4																

TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA (3 & 4 YEAR OLDS): **£11,513,301**

EYSFF (2 year olds)	Description	Unit Value (£)			Unit Applied	Number of Units			Anticipated Budget (£)				
		PVI	Nursery School	Primary Nursery Class		PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	
5. Base Rate(s) per hour, per provider type	Description	PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	
	1	£5.28		£5.28	per hour	269,476		85,500	£1,422,833		£451,440	£1,874,273	
6. Two year old Supplements (please provide a short explanation of your supplement payments)	Quality (if applicable)	Description	PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL
	Other supplements (if applicable)	2											
		3											
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA (2 YEAR OLDS):												£1,874,273	

7. SEN inclusion fund (top up grant element)	Description	Anticipated total budget (£)
3 & 4 Year Olds (Mandatory)	1	£50,000
2 Year Olds (if applicable)	2	

8. Early years contingency funding	Description	Anticipated total budget (£)
3 & 4 Year Olds	1 For in year increases in participation, not funded from January census returns	£402,740
2 Year Olds	2 For in year increases in participation, not funded from January census returns	£102,850

9. Early years centrally retained funding	Description	Anticipated total budget (£)
3 & 4 Year Olds	1	£730,000
2 Year Olds	2	
TOTAL FUNDING FOR CENTRAL EXPENDITURE:		£1,285,590

10. Early years pupil premium	Anticipated total budget (£)	
3 & 4 Year Olds	£124,928	
TOTAL FUNDING FOR EARLY YEARS PUPIL PREMIUM:		£124,928

11. Disability access fund	Anticipated total budget (£)	
3 & 4 Year Olds	£49,200	
TOTAL FUNDING FOR EARLY YEARS DISABILITY ACCESS FUND:		£49,200

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Schools Funding Forum 18th May 2017
ITEM 9
Subject Heading:
**Strategic Review and Planning of
 Special Education Provision**
Report Author:
**Emma Ferrey – SEND Review Project
 Manager**
Eligibility to vote:
N/A

SUMMARY

This item is to receive a report on the process for developing a strategic review of special education provision in Havering. The review intends to refresh the existing SEND Strategy, incorporating the Post-16 Strategy, into a coherent, future-focussed document which will support planning for provision for the borough over the coming years.

RECOMMENDATIONS

That the Schools Funding Forum notes the report.

REPORT DETAIL

Background

Over the past few years, the government has introduced a number of changes to how children and young people with special educational needs, as well as their families, are supported. The main change has been through the introduction of the Children and Families Act 2014. The Act set out to ensure that local authorities work in partnership with health, social care, schools, colleges and other key partners to ensure that children, young people and their families receive joined-up, high quality and appropriate services.

Last year, the government announced proposals to consult on how funding is given to local authorities and schools to support children and young people with SEND. As part of this, local authorities are required to refresh their SEND Strategy to

ensure that it is up to date, reflects current and predicted trends, and provides clarity on how the authority expects different levels of needs to be met and where its current and future gaps in provision are.

Havering's vision

In Havering we are committed to developing the most inclusive communities which are welcoming and supportive of all. Our aspiration is that all our children and young people have the best opportunities to achieve and fulfil their potential. For children and young people with special educational needs and disabilities (SEND) we want them to enjoy their education in the most inclusive environment possible and be supported in participating as fully as they can in the lives of their schools and local community.

Local authorities, schools and other education providers have important responsibilities for children and young people with SEN and disabilities and for those who need alternative provision, as set out in the Children and Families Act 2014.

We recognise that for these responsibilities to be discharged most effectively we have a duty to further develop our strong partnership working with all of our education providers (in particular mainstream and special schools, and alternative provision). We want to work with schools and colleges to develop a shared understanding of where different types of need are best met, and how we can support that development. This vision and shared understanding will be a key part of our published local offer of SEN provision and services.

Refreshing the SEND Strategy

In refreshing our strategy, we will be involving parents and young people to ensure that the range and quality of provision reflects the needs and aspirations of children and young people in the area.

The Children and Families Act is clear that, when considering any reorganisation of provision, decision makers must be clear how they are satisfied that the proposed alternative arrangements will lead to improvements in the standard, quality and/or range of educational provision for children with SEN. This aim will be the common thread throughout the refresh.

The Act sets out clear expectations for reviewing the services and provision. Local authorities must work with key partners, including a range of education providers. The partners who are required to co-operate with the local authority include:

- the governing bodies of maintained schools and proprietors of academies and free schools in the local authority's area;
- the proprietors of non-maintained special schools, and of independent special schools and special post-16 institutions which have been included on the section 41 list of institutions approved by the Secretary of State, and which are in the local authority's area or provide education or training for children and young people in the area;
- the governing bodies of further education colleges and sixth form colleges that are in the local authority's area or are attended or likely to be attended by young people from their area;

- any other person (other than a school or college) that makes special educational provision for children or young people for whom the local authority is responsible, including providers of relevant early education.

We will also include in the review, provision outside the local area that is likely to be used by Havering children and young people. We will also include relevant regional and national specialist provision, such as provision for children and young people with low-incidence and more complex SEND.

The refresh will consider:

- Data on the range of SEND in the area, recent trends and likely changes in the future (for example arising from demographic growth)
- Evidence for how effectively the current pattern of special educational provision meets needs in the area (Including feedback from parents and young people on the local offer (including the quality of existing provision and any gaps)
- Evidence for how effectively the current pattern of special educational provision prepares children and young people for adult life (particularly employment and/or higher education; independent living; participation in society; and being as healthy as possible)
- The range of special educational needs which would generally be met by mainstream providers, including early years settings, mainstream schools and academies, and post-16 institutions (further education and sixth form colleges), and the way in which these institutions access the specialist training and workforce development they need
- The range of SEN and disabilities which would generally be met by specialist providers, including special units or resourced provision in mainstream schools, special schools and academies, non-maintained and independent special schools and special post-16 institutions
- The range of SEN and disabilities which would generally be met by highly specialised providers, including those operating at a regional or national level such as residential special schools, non-maintained and independent special schools and special post-16 institutions
- How best to address any gaps in provision identified by the review
- How best to allocate resources to deliver this provision.

Outcomes of the Strategy Refresh

It is envisaged that three key outcomes will emerge from these reviews:

1. A strategic plan for high needs provision that makes sure there is an attractive offer for parents and young people which will meet the needs of future cohorts, at a cost that is sustainable. This might include:
 - i. measures to support mainstream schools in meeting the SEN of a wider range of pupils, for example through workforce training or clear routes to access specialist expertise
 - ii. changes to the focus of existing specialist places, to cater for different or more complex needs
 - iii. the creation or expansion of specialist provision attached to mainstream schools (special units or additionally resourced provision)
 - iv. identification of the need to create or expand special schools, and

- v. strategic engagement with specialist providers in the non-maintained and independent sector, to make sure that the places they are offering reflect the changing needs of children and young people.
2. More effective collaboration between local authorities to secure efficient delivery of:
 - i. SEND assessment and support services
 - ii. Specialist provision for more complex needs
 - iii. More standardised approaches to high needs top-up funding that facilitate better cost control and reductions in bureaucracy.
 3. Better value for money in special schools and other specialist institutions. For example, where an institution is operating with empty places, the review may secure better value through a change to commissioning; or where a school is not as efficient as it could be, the review may support better procurement of utilities, benchmarking of costs and other measures that release more resources that can be focused on improving the quality of provision and outcomes.

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.

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Agenda Item 16

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

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